

# Public Document Pack



<b>MEETING:</b>	Audit Committee
<b>DATE:</b>	Wednesday, 17 January 2018
<b>TIME:</b>	4.00 pm
<b>VENUE:</b>	Reception Room, Barnsley Town Hall

## SUPPLEMENTARY AGENDA

### Items for Discussion/Decision

4. Strategic Risk Register - Highways matters (*Pages 3 - 8*)  
Presentation of the Service Director (Environment & Transport).
6. Risk Management Update Report 2017/18 (*Pages 9 - 10*)  
Addendum to Paragraph 3.3.

To: Chair and Members of Audit Committee:-

Councillors Clements (Chair), Barnard, Lofts and Richardson; together with Independent members Ms K Armitage, Ms D Brown, Mr S Gill, Mr P Johnson and Mr M Marks

Diana Terris, Chief Executive  
All Executive Directors  
Andrew Frosdick, Executive Director Core Services  
Rob Winter, Head of Internal Audit  
Neil Copley, Service Director Finance  
Ian Rooth, Head of Financial Services  
Adrian Hunt, Risk Management Manager  
Michael Potter, Service Director Business Improvement and Communications  
Louise Booth, Audit Manager

Council Governance Unit – 3 copies

Please contact William Ward on or email [governance@barnsley.gov.uk](mailto:governance@barnsley.gov.uk)

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# Audit Committee Update

Highways, Engineering & Transportation  
2017/18 Action Plan

Wednesday 17<sup>th</sup> January 2018



# Outline

- Background
- Audit Themes
- Action Plan Progress
- Example Initiatives
- Conclusion



# Background

## 2016/17 Highway's Engineering & Transportation (HET) - Final Accounts

- HET Controllable Budget 2016/17 - £12M
- HET Accounts structured in to 5 main areas.
- All core accounts delivered an out-turn position within forecast except Construction which showed a significant swing.
- Internal Audit review was commissioned in April 2017
- Corrective management actions commenced in May 2017
- Audit report and recommendations issued September 2017
- Progress review held December 2017

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**BARNLSLEY**  
Metropolitan Borough Council  
Judge us by our actions

# Audit Themes

## 5 Main Themes Highlighted

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FUNDING/  
BUDGET  
SETTING

DEVELOPING  
WORKS  
PROGRAMME

DESIGN

CONSTRUCTION

CLOSE OUT









# Action Plan Progress

## Summary

- 85% of recommendations In Progress or Completed;
- Focus has been on;
  - Improving financial control and ensuring costs/income are reconciled monthly;
  - Updating the SoR values in SAP;
  - Detailing the construction programme for the remainder of the year;
  - Looking forward to plan the next Financial Year.
- Key Deliverables;
  - SoR refresh
  - Regional Benchmarking Club
  - Account Closedowns
- Transformation Team fully engaged, detailed programme of delivery agreed.
  - Draft report due February 8<sup>th</sup>

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6. Action Plan Progress		60%			
<b>1. Funding / Budget Setting</b>		50%			
	<b>Not Started In Progress Completed Total</b>				
<i>Recommendations</i>		0	4	0	4
<b>2. Developing Works Programme</b>		80%			
	<b>Not Started In Progress Completed</b>				
<i>Recommendations</i>		0	1	0	1
<b>3. Design</b>		60%			
	<b>Not Started In Progress Completed</b>				
<i>Recommendations</i>		0	4	3	7
<b>4. Construction</b>		50%			
	<b>Not Started In Progress Completed</b>				
<i>Recommendations</i>		0	5	1	6
<b>5. Close Out</b>		40%			
	<b>Not Started In Progress Completed</b>				
<i>Recommendations</i>		1	2	0	3
<b>6. General</b>		30%			
	<b>Not Started In Progress Completed</b>				
<i>Recommendations</i>		3	4	0	7
<b>Total</b>		<b>4</b>	<b>20</b>	<b>4</b>	<b>28</b>
		<b>14%</b>	<b>71%</b>	<b>14%</b>	<b>100%</b>



# Conclusion

- Audit review highlighted clearly areas for improvement.
- Commitment to address all Audit recommendations.
- Additional capacity secured through Transformation Team
- Higher levels of financial forecasting confidence for 2017/18





## Item 6 Addendum to Paragraph 3.3

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ORR Statistics								
Risk Category	Jan 2018		July 2017		Jan 2017		June 2016	
	No.	%	No.	%	No.	%	No.	%
1	30	8	46	14	22	7	51	17
2	46	13	61	18	52	17	56	17
3	86	24	67	20	67	21	55	18
4	114	32	90	26	92	30	74	24
5	78	22	72	21	74	24	67	22
6	2	1	2	1	2	1	2	1
<b>Total</b>	<b>356</b>	<b>100</b>	<b>338</b>	<b>100</b>	<b>309</b>	<b>100</b>	<b>305</b>	<b>100</b>
Ave. Risk Category	3.47		3.25		3.48		3.18	

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